

The
Foundations of Arithmetic

A logico-mathematical enquiry into the
concept of number

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INTRODUCTION

When we ask someone what the number one is, or what the symbol 1 means,* we get as a rule the answer "Why, a thing". And if we go on to point out that the proposition "the number one is a thing"

is not a definition, because it has the definite article on one side and the indefinite on the other, or that it only assigns the number one to the class of things, without stating which thing it is, then we shall very likely be invited to select something for ourselves—anything we please—to call one. Yet if everyone had the right to understand by this name whatever he pleased, then the same proposition about one would mean different things for different people,—such propositions would have no common content. Some, perhaps, will decline to answer the question, pointing out that it is impossible to state, either, what is meant by the letter a , as it is used in arithmetic; and that if we were to say " a means a number," this would be open to the same objection as the definition "one is a thing." Now in the case of a it is quite right to decline to answer: a does not mean some one definite number which can be specified, but serves to express the generality of general propositions. If, in $a + a - a = a$, we put for a

* [I have tried throughout to translate *Bedeutung* and its cognates by "meaning" and *Sinn* and its cognates by "sense", in view of the importance Frege later attached to the distinction. But it is quite evident that he attached no special significance to the words at this period.]

some number, any we please but the same throughout, we get always a true identity.* This is the sense in which the letter a is used. With one, however, the position is essentially different. Can we, in the identity $1 + 1 = 2$, put for 1 in both places some one and the same object, say the Moon? On the contrary, it looks as though, whatever we put for the first 1, we must put something different for the second. Why is it that we have to do here precisely what would have been wrong in the other case? Again, arithmetic cannot get along with a alone, but has to use further letters besides (b , c and so on), in order to express in general form relations between different numbers. It would therefore be natural to suppose that the symbol 1 too, if it served in some similar way to confer generality on propositions, could not be enough by itself. Yet surely the number one looks like a definite particular object, with properties that can be specified, for example that of remaining unchanged when multiplied by itself? In this sense, a has no properties that can be specified, since whatever can be asserted of a is a common property of all numbers, whereas $1^1 = 1$ asserts nothing of the Moon, nothing of the Sun, nothing of the Sahara, nothing of the Peak of Teneriffe; for what could be the sense of any such assertion?

Questions like these catch even mathematicians for that matter, or most of them, unprepared with any satisfactory answer. Yet is it not a scandal that our science should be so unclear about the first and foremost among its objects, and one which is apparently so simple? Small hope, then, that we shall be able to say what number is. If a concept fundamental to a mighty science gives rise to difficulties, then it is surely an imperative task to investigate it more closely until those difficulties are overcome; especially as we shall hardly succeed in finally clearing up negative numbers, or fractional or complex numbers, so long as our insight into the foundation of the whole structure of arithmetic is still defective.

* [*Gleichung*. This also means, and would often be more naturally translated, "equation". But I have generally retained "identity", because this is sometimes essential and because Frege does understand equations as identities. For similar reasons I have translated *gleich* "identical", though it can mean "equal" or even merely "similar". Cp. §§ 34, 65.]

Admittedly, many people will think this not worth the trouble. Naturally, they suppose, this concept is adequately dealt with in the elementary textbooks, where the subject is settled once and for all. Who can believe that he has anything still to learn on so simple a matter? So free from all difficulty is the concept of positive whole number held to be, that an account of it fit for children can be both scientific and exhaustive; and that every schoolboy, without any further reflexion or acquaintance with what others have thought, knows all there is to know about it. The first prerequisite for learning anything is thus utterly lacking—I mean, the knowledge that we do not know. The result is that we still rest content with the crudest of views, even though since HERBART'S¹ day a better doctrine has been available. It is sad and discouraging to observe how discoveries once made are always threatening to be lost again in this way, and how much work promises to have been done in vain, because we fancy ourselves so well off that we need not bother to assimilate its results. My work too, as I am well aware, is exposed to this risk. A typical crudity confronts me, when I find calculation described as "aggregative mechanical thought".² I doubt whether there exists any thought whatsoever answering to this description. An aggregative imagination, even, might sooner be let pass; but that has no relevance to calculation. Thought is in essentials the same everywhere: it is not true that there are different kinds of laws of thought to suit the different kinds of objects thought about. Such differences as there are consist only in this, that the thought is more pure or less pure, less dependent or more upon psychological influences and on external aids such as words or numerals, and further to some

¹ Collected Works, ed. Hartenstein, Vol. X, part i, *Umriss pädagogischer Vorlesungen*, § 252, n. 2: "Two does not mean two things, but doubling" etc.

² K. Fischer, *System der Logik und Metaphysik oder Wissenschaftslehre*, 2nd edn., §94.

extent too in the finer or coarser structure of the concepts involved; but it is precisely in this respect that mathematics aspires to surpass all other sciences, even philosophy.

The present work will make it clear that even an inference like that from n to $n + 1$, which on the face of it is peculiar to mathematics, is based on the general laws of logic, and that there is no need of special laws for aggregative thought. It is possible, of course, to operate with figures mechanically, just as it is possible to speak like a parrot: but that hardly deserves the name of thought. It only becomes possible at all after the mathematical notation has, as a result of genuine thought, been so developed that it does the thinking for us, so to speak. This does not prove that numbers are formed in some peculiarly mechanical way, as sand, say, is formed out of quartz granules. In their own interests mathematicians should, I consider, combat any view of this kind, since it is calculated to lead to the disparagement of a principal object of their study, and of their science itself along with it. Yet even in the works of mathematicians are to be found expressions of exactly the same sort. The truth is quite the other way: the concept of number, as we shall be forced to recognize, has a finer structure than most of the concepts of the other sciences, even although it is still one of the simplest in arithmetic.

In order, then, to dispel this illusion that the positive whole numbers really present no difficulties at all, but that universal concord reigns about them, I have adopted the plan of criticizing some of the views put forward by mathematicians and philosophers on the questions involved. It will be seen how small is the extent of their agreement—so small, that we find one dictum precisely contradicting another. For example, some hold that "units are identical with one another," others that they are different, and each side supports its assertion with arguments that cannot be rejected out of hand. My object in this is

to awaken a desire for a stricter enquiry. At the same time this preliminary examination of the views others have put forward should clear the ground for my own account, by convincing my readers in advance that these other paths do not lead to the goal, and that my opinion is not just one among many all equally tenable; and in this way I hope to settle the question finally, at least in essentials.

I realize that, as a result, I have been led to pursue arguments more philosophical than many mathematicians may approve; but any thorough investigation of the concept of number is bound always to turn out rather philosophical. It is a task which is common to mathematics and philosophy.

It may well be that the co-operation between these two sciences, in spite of many *démarches* from both sides, is not so flourishing as could be wished and would, for that matter, be possible. And if so, this is due in my opinion to the predominance in philosophy of psychological methods of argument, which have penetrated even into the field of logic. With this tendency mathematics is completely out of sympathy, and this easily accounts for the aversion to philosophical arguments felt by many mathematicians. When STRICKER,¹ for instance, calls our ideas* of numbers motor phenomena and makes them dependent on muscular sensations, no mathematician can recognize his numbers in such stuff or knows what on earth to make such a proposition. An arithmetic founded on muscular sensations would certainly turn out sensational enough, but also every bit as vague as its foundation. No, sensations are absolutely no concern of arithmetic. No more are mental pictures, formed from the amalgamated traces of earlier sense-impressions. All these phases of consciousness are characteristically fluctuating and indefinite, in strong contrast to the definiteness and fixity of the concepts and objects of

¹ *Studien über Association der Vorstellungen*, Vienna 1883.

* [*Vorstellungen*. I have translated this word consistently by "idea", and cognate words by "imagine", "imagination", etc. For Frege it is a psychological term, cp. p. x^e below.]

mathematics. It may, of course, serve some purpose to investigate the ideas and changes of ideas which occur during the course of mathematical thinking; but psychology should not imagine that it can contribute anything whatever to the foundation of arithmetic. To the mathematician as such these mental pictures, with their origins and their transformations, are immaterial. STRICKER himself states that the only idea he associates with the word "hundred" is the symbol 100. Others may have the idea of the letter C or something else; does it not follow, therefore, that these mental pictures are, so far as concerns us and the essentials of our problem, completely immaterial and incidental—as incidental as chalk and blackboard, and indeed that they do not deserve to be called ideas of the number a hundred at all? Never, then, let us suppose that the essence of the matter lies in such ideas. Never let us take a description of the origin of an idea for a definition, or an account of the mental and physical conditions on which we become conscious of a proposition for a proof of it. A proposition may be thought, and again it may be true; let us never confuse these two things. We must remind ourselves, it seems, that a proposition no more ceases to be true when I cease to think of it than the sun ceases to exist when I shut my eyes. Otherwise, in proving Pythagoras' theorem we should be reduced to allowing for the phosphorous content of the human brain; and astronomers would hesitate to draw any conclusions about the distant past, for fear of being charged with anachronism,—with reckoning twice two as four regardless of the fact that our idea of number is a product of evolution and has a history behind it. It might be doubted whether by that time it had progressed so far. How could they profess to know that the proposition $2 \times 2 = 4$ already held good in that remote epoch? Might not the creatures then extant have held the proposition $2 \times 2 = 5$, from which the proposition $2 \times 2 = 4$ was only evolved later through a process of natural selection

in the struggle for existence? Why, it might even be that $2 \times 2 = 4$ itself is destined in the same way to develop into $2 \times 2 = 3$! *Est modus in rebus, sunt certi denique fines!* The historical approach, with its aim of detecting how things begin and of arriving from these origins at a knowledge of their nature, is certainly perfectly legitimate; but it has also its limitations. If everything were in continual flux, and nothing maintained itself fixed for all time, there would no longer be any possibility of getting to know anything about the world and everything would be plunged in confusion. We suppose, it would seem, that concepts sprout in the individual mind like leaves on a tree, and we think to discover their nature by studying their birth: we seek to define them psychologically, in terms of the nature of the human mind. But this account makes everything subjective, and if we follow it through to the end, does away with truth. What is known as the history of concepts is really a history either of our knowledge of concepts or of the meanings of words. Often it is only after immense intellectual effort, which may have continued over centuries, that humanity at last succeeds in achieving knowledge of a concept in its pure form, in stripping off the irrelevant accretions which veil it from the eyes of the mind. What, then, are we to say of those who, instead of advancing this work where it is not yet completed, despise it, and betake themselves to the nursery, or bury themselves in the remotest conceivable periods of human evolution, there to discover, like JOHN STUART MILL, some gingerbread or pebble arithmetic! It remains only to ascribe to the flavour of the bread some special meaning for the concept of number. A procedure like this is surely the very reverse of rational, and as unmathematical, at any rate, as it could well be. No wonder the mathematicians turn their backs on it. Do the concepts, as we approach their supposed sources, reveal themselves in peculiar purity? Not at all;

we see everything as through a fog, blurred and undifferentiated. It is as though everyone who wished to know about America were to try to put himself back in the position of Columbus, at the time when he caught the first dubious glimpse of his supposed India. Of course, a comparison like this proves nothing; but it should, I hope, make my point clear. It may well be that in many cases the history of earlier discoveries is a useful study, as a preparation for further researches; but it should not set up to usurp their place.

So far as mathematicians are concerned, an attack on such views would indeed scarcely have been necessary; but my treatment was designed to bring each dispute to an issue for the philosophers as well, as far as possible, so that I found myself forced to enter a little into psychology, if only to repel its invasion of mathematics.

Besides, even mathematical textbooks make use of psychological expressions. When the author feels himself obliged to give a definition, yet cannot, then he tends to give at least a description of the way in which we arrive at the object or concept concerned. These cases can easily be recognized by the fact that such explanations are never referred to again in the course of the subsequent exposition. For teaching purposes, introductory devices are certainly quite legitimate; only they should always be clearly distinguished from definitions. A delightful example of the way in which even mathematicians can confuse the grounds of proof with the mental or physical conditions to be satisfied if the proof is to be given is to be found in E. SCHRÖDER.¹ Under the heading "Special Axiom" he produces the following: "The principle I have in mind might well be called the Axiom of Symbolic Stability. It guarantees us that throughout all our arguments and deductions the symbols

¹ *Lehrbuch der Arithmetik und Algebra*, [Leipzig 1873].

remain constant in our memory—or preferably on paper," and so on.

No less essential for mathematics than the refusal of all assistance from the direction of psychology, is the recognition of its close connexion with logic. I go so far as to agree with those who hold that it is impossible to effect any sharp separation of the two. This much everyone would allow, that any enquiry into the cogency of a proof or the justification of a definition must be a matter of logic. But such enquiries simply cannot be eliminated from mathematics, for it is only through answering them that we can attain to the necessary certainty.

In this direction too I go, certainly, further than is usual. Most mathematicians rest content, in enquiries of this kind, when they have satisfied their immediate needs. If a definition shows itself tractable when used in proofs, if no contradictions are anywhere encountered, and if connexions are revealed between matters apparently remote from one another, this leading to an advance in order and regularity, it is usual to regard the definition as sufficiently established, and few questions are asked as to its logical justification. This procedure has at least the advantage that it makes it difficult to miss the mark altogether. Even I agree that definitions must show their worth by their fruitfulness: it must be possible to use them for constructing proofs. Yet it must still be borne in mind that the rigour of the proof remains an illusion, even though no link be missing in the chain of our deductions, so long as the definitions are justified only as an afterthought, by our failing to come across any contradiction. By these methods we shall, at bottom, never have achieved more than an empirical certainty, and we must really face the possibility that we may still in the end encounter a contradiction which brings the whole edifice down in ruins. For this reason I have felt bound to go back rather further into the general logical foundations of our science than perhaps most mathematicians will consider necessary.

In the enquiry that follows, I have kept to three fundamental principles:

always to separate sharply the psychological from the logical, the subjective from the objective;

never to ask for the meaning of a word in isolation, but only in the context of a proposition;

never to lose sight of the distinction between concept and object.

In compliance with the first principle, I have used the word "idea" always in the psychological sense, and have distinguished ideas from concepts and from objects. If the second principle is not observed, one is almost forced to take as the meanings of words mental pictures or acts of the individual mind, and so to offend against the first principle as well. As to the third point, it is a mere illusion to suppose that a concept can be made an object without altering it. From this it follows that a widely-held formalist theory of fractional, negative, etc., numbers is untenable. How I propose to improve upon it can be no more than indicated in the present work. With numbers of all these types, as with the positive whole numbers, it is a matter of fixing the sense of an identity.

My results will, I think, at least in essentials, win the adherence of those mathematicians who take the trouble to attend to my arguments. They seem to me to be in the air, and it may be that every one of them singly, or at least something very like it, has been already put forward; though perhaps, presented as they are here in connexion with each other, they may still be novel. I have often been astonished at the way in which writers who on one point approach my view so closely, on others depart from it so violently.

Their reception by philosophers will be varied, depending on each philosopher's own position; but presumably those

empiricists who recognize induction as the sole original process of inference (and even that as a process not actually of inference but of habituation) will like them least. Some one or another, perhaps, will take this opportunity to examine afresh the principles of his theory of knowledge. To those who feel inclined to criticize my definitions as unnatural, I would suggest that the point here is not whether they are natural, but whether they go to the root of the matter and are logically beyond criticism.

I permit myself the hope that even the philosophers, if they examine what I have written without prejudice, will find in it something of use to them.

FREGE ~~2~~ from the FOUNDATIONS of ARITHMETIC, II

§ 1. After deserting for a time the old Euclidean standards of rigour, mathematics is now returning to them, and even making efforts to go beyond them. In arithmetic, if only because many of its methods and concepts originated in India, it has been the tradition to reason less strictly than in geometry, which was in the main developed by the Greeks. The discovery of higher analysis only served to confirm this tendency; for considerable, almost insuperable, difficulties stood in the way of any rigorous treatment of these subjects, while at the same time small reward seemed likely for the efforts expended in overcoming them. Later developments, however, have shown more and more clearly that in mathematics a mere moral conviction, supported by a mass of successful applications, is not good enough. Proof is now demanded of many things that formerly passed as self-evident. Again and again the limits to the validity of a proposition have been in this way established for the first time. The concepts of function, of continuity, of limit and of infinity have been shown to stand in need of sharper definition. Negative and irrational numbers, which had long since been admitted into science, have had to submit to a closer scrutiny of their credentials.

In all directions these same ideals can be seen at work—rigour of proof, precise delimitation of extent of validity, and as a means to this, sharp definition of concepts.

§ 2. Proceeding along these lines, we are bound eventually to come to the concept of Number* and to the simplest propositions holding of positive whole numbers, which form the foundation of the whole of arithmetic. Of course, numerical formulae like $7 + 5 = 12$ and laws like the Associative Law of Addition are so amply established by the countless applications made of them every day, that it may seem almost ridiculous to try to bring them into dispute by demanding a proof of them. But it is in the nature of mathematics always to prefer proof, where proof is possible, to any confirmation by induction. Euclid gives proofs of many things which anyone would concede him without question. And it was when men refused to be satisfied even with Euclid's standards of rigour that they were led to the enquiries set in train by the Axiom of Parallels.

Thus our movement in favour of all possible rigour has already outstripped in many directions the demand originally raised, while the demand has itself continually grown in scope and urgency.

The aim of proof is, in fact, not merely to place the truth of a proposition beyond all doubt, but also to afford us insight into the dependence of truths upon one another. After we have convinced ourselves that a boulder is immovable, by trying unsuccessfully to move it, there remains the further question, what is it that supports it so securely? The further we pursue these enquiries, the fewer become the primitive truths to which we reduce everything; and this simplification is in itself a goal worth pursuing. But there may even be justification for a further hope: if, by examining the simplest cases, we can bring to light what mankind has there done by instinct, and can extract from such procedures what is universally valid in them, may we not thus arrive at general methods for forming concepts and establishing principles which will be applicable also in more complicated cases?

* [*Anzahl*, i.e. cardinal number, cp. § 4 n. I have always used "Number" to translate this, and "number" for the more usual and general *Zahl*. Throughout most of the present work the distinction is not important, and Frege uses the two words almost indifferently.]

§ 3. Philosophical motives too have prompted me to enquiries of this kind. The answers to the questions raised about the nature of arithmetical truths—are they a priori or a posteriori? synthetic or analytic?—must lie in this same direction. For even though the concepts concerned may themselves belong to philosophy, yet, as I believe, no decision on these questions can be reached without assistance from mathematics—though this depends of course on the sense in which we understand them.

It not uncommonly happens that we first discover the content of a proposition, and only later give the rigorous proof of it, on other and more difficult lines; and often this same proof also reveals more precisely the conditions restricting the validity of the original proposition. In general, therefore, the question of how we arrive at the content of a judgement should be kept distinct from the other question, Whence do we derive the justification for its assertion?

Now these distinctions between a priori and a posteriori, synthetic and analytic, concern, as I see it,¹ not the content of the judgement but the justification for making the judgement. Where there is no such justification, the possibility of drawing the distinctions vanishes. An a priori error is thus as complete a nonsense as, say, a blue concept. When a proposition is called a posteriori or analytic in my sense, this is not a judgement about the conditions, psychological, physiological and physical, which have made it possible to form the content of the proposition in our consciousness; nor is it a judgement about the way in which some other man has come, perhaps erroneously, to believe it true; rather, it is a judgement about the ultimate ground upon which rests the justification for holding it to be true.

This means that the question is removed from the sphere of psychology, and assigned, if the truth concerned is a

¹ By this I do not, of course, mean to assign a new sense to these terms, but only to state accurately what earlier writers, KANT in particular, have meant by them.

mathematical one, to the sphere of mathematics. The problem becomes, in fact, that of finding the proof of the proposition, and of following it up right back to the primitive truths. If, in carrying out this process, we come only on general logical laws and on definitions, then the truth is an analytic one, bearing in mind that we must take account also of all propositions upon which the admissibility of any of the definitions depends. If, however, it is impossible to give the proof without making use of truths which are not of a general logical nature, but belong to the sphere of some special science, then the proposition is a synthetic one. For a truth to be a posteriori, it must be impossible to construct a proof of it without including an appeal to facts, i.e., to truths which cannot be proved and are not general, since they contain assertions about particular objects. But if, on the contrary, its proof can be derived exclusively from general laws, which themselves neither need nor admit of proof, then the truth is a priori.¹

§ 4. Starting from these philosophical questions, we are led to formulate the same demand as that which had arisen independently in the sphere of mathematics, namely that the fundamental propositions of arithmetic should be proved, if in any way possible, with the utmost rigour; for only if every gap in the chain of deductions is eliminated with the greatest care can we say with certainty upon what primitive truths the proof depends; and only when these are known shall we be able to answer our original questions.

¹ If we recognize the existence of general truths at all, we must also admit the existence of such primitive laws, since from mere individual facts nothing follows, unless it be on the strength of a law. Induction itself depends on the general proposition that the inductive method can establish the truth of a law, or at least some probability for it. If we deny this, induction becomes nothing more than a psychological phenomenon, a procedure which induces men to believe in the truth of a proposition, without affording the slightest justification for so believing.

If we now try to meet this demand, we very soon come to propositions which cannot be proved so long as we do not succeed in analysing concepts which occur in them into simpler concepts or in reducing them to something of greater generality. Now here it is above all Number which has to be either defined or recognized as indefinable. This is the point which the present work is meant to settle.¹ On the outcome of this task will depend the decision as to the nature of the laws of arithmetic.

To my attack on these questions themselves I shall preface something which may give a pointer towards their answers. For suppose there should prove to be grounds from other points of view for believing that the fundamental principles of arithmetic are analytic, then these would tell also in favour of their being provable and the concept of Number definable; while any grounds for believing the same truths to be a posteriori would tell in the opposite direction. The rival theories here, therefore, may well be submitted first to a passing scrutiny.

I. Views of certain writers on the nature of arithmetical propositions.

Are numerical formulae provable?

§ 5. We must distinguish numerical formulae, such as $2 + 3 = 5$, which deal with particular numbers, from general laws, which hold good for all whole numbers.

The former are held by some philosophers² to be unprovable and immediately self-evident like axioms. KANT³

¹ In what follows, therefore, unless special notice is given, the only "numbers" under discussion are the positive whole numbers, which give the answer to the question "How many?"

² Hobbes, Locke, Newton. Cf. Baumann, *Die Lehren von Zeit, Raum und Mathematik*, [Berlin 1868, Vol. I], pp. 241-42, 365 ff., 475-76. [Hobbes, *Examination et Emendatio Mathematicae Hodiernae*, Amsterdam 1668, Diall. I-III, esp. I, p. 19 and III, pp. 62-63; Locke, *Essay*, Bk. IV, esp. Cap. iv, § 6 and cap. vii, §§ 6 and 10; Newton, *Arithmetica Universalis*, Vol. I, cap. i-iii, esp. iii, n. 24.]

³ *Critique of Pure Reason*; Collected Works, ed. Hartenstein, Vol. III, p. 157 [Original edns. A 164/B205].

declares them to be unprovable and synthetic, but hesitates to call them axioms because they are not general and because the number of them is infinite. HANKEL¹ justifiably calls this conception of infinitely numerous unprovable primitive truths incongruous and paradoxical. The fact is that it conflicts with one of the requirements of reason, which must be able to embrace all first principles in a survey. Besides, is it really self-evident that

$$135664 + 37863 = 173527?$$

It is not; and KANT actually urges this as an argument for holding these propositions to be synthetic. Yet it tells rather against their being unprovable; for how, if not by means of a proof, are they to be seen to be true, seeing that they are not immediately self-evident? KANT thinks he can call on our intuition of fingers or points for support, thus running the risk of making these propositions appear to be empirical, contrary to his own expressed opinion; for whatever our intuition of 37863 fingers may be, it is at least certainly not pure. Moreover, the term "intuition" seems hardly appropriate, since even 10 fingers can, in different arrangements, give rise to very different intuitions. And have we, in fact, an intuition of 135664 fingers or points at all? If we had, and if we had another of 37863 fingers and a third of 173527 fingers, then the correctness of our formula, if it were unprovable, would have to be evident right away, at least as applying to fingers; but it is not.

KANT, obviously, was thinking only of small numbers. So that for large numbers the formulae would be provable, though for small numbers they are immediately self-evident through intuition. Yet it is awkward to make a fundamental distinction between small and large numbers, especially as it would scarcely be possible to draw any sharp boundary between them. If the numerical formulae were provable

¹ *Vorlesungen über die complexen Zahlen und ihren Functionen*, p. 53.

from, say, 10 on, we should ask with justice "Why not from 5 on? or from 2 on? or from 1 on?"

§ 6. Other philosophers again, and mathematicians, have asserted that numerical formulae are actually provable. LEIBNIZ¹ says:

"It is not an immediate truth that 2 and 2 are 4; provided it be granted that 4 signifies 3 and 1. It can be proved, as follows:

Definitions: (1) 2 is 1 and 1

(2) 3 is 2 and 1

(3) 4 is 3 and 1

Axiom: If equals be substituted for equals, the equality remains.*

Proof: $2 + 2 = 2 + 1 + 1$ (by Def. 1) $= 3 + 1$ (by Def. 2) $= 4$ (by Def. 3).

$\therefore 2 + 2 = 4$ (by the Axiom)."

This proof seems at first sight to be constructed entirely from definitions and the axiom cited. And the axiom too could be transformed into a definition, as LEIBNIZ himself does transform it in another passage.² It seems as though we need to know no more of 1, 2, 3 and 4 than is contained in the definitions. If we look more closely, however, we can discover a gap in the proof, which is concealed owing to the omission of the brackets. To be strictly accurate, that is, we should have to write:

$$2 + 2 = 2 + (1 + 1)$$

$$(2 + 1) + 1 = 3 + 1 = 4.$$

What is missing here is the proposition

$$2 + (1 + 1) = (2 + 1) + 1,$$

which is a special case of

$$a + (b + c) = (a + b) + c.$$

If we assume this law, it is easy to see that a similar proof can

¹ *Nouveaux Essais*, IV, § 10 (Erdmann edn., p. 363).

² *Non inelegans specimen demonstrandi in abstractis* (Erdmann edn., p. 94).

* [Mettant des choses égales à la place, l'égalité demeure.]

be given for every formula of addition. Every number, that means, is to be defined in terms of its predecessor. And actually I do not see how a number like 437986 could be given to us more aptly than in the way LEIBNIZ does it. Even without having any idea of it, we get it by this means at our disposal none the less. Through such definitions we reduce the whole infinite set of numbers to the number one and increase by one, and every one of the infinitely many numerical formulae can be proved from a few general propositions.

This opinion is shared by H. GRASSMANN and H. HANKEL. GRASSMANN attempts to obtain the law

$$a + (b + 1) = (a + b) + 1$$

by means of a definition, as follows¹:

"If a and b are any arbitrary members of the basic series, then by the sum $a + b$ is to be understood that member of the basic series for which the formula

$$a + (b + e) = a + b + e$$

is valid."

e here is to be taken to mean positive unity. This definition can be criticized in two different ways. First, sum is defined in terms of itself. If we do not yet understand the meaning of $a + b$, we do not understand the expression $a + (b + e)$ either. This criticism, however, can perhaps be evaded if we say (admittedly going against the text) that what he is intending to define is not sum but addition. In that case, the criticism could still be brought that $a + b$ would be an empty symbol if there were either no member or several members of the basic series which satisfied the prescribed condition. That this does not in fact ever happen, GRASSMANN simply assumes without proof, so that the rigour of his procedure is only apparent.

¹ *Lehrbuch der Mathematik für höhere Lehranstalten*, Part I *Arithmetik*, p. 4. Stettin 1860 [= *ges. Math. u. Phys. Werke*, ed. Engel, II, i, p. 301].

§ 7. It might well be supposed that numerical formulae would be synthetic or analytic, a posteriori or a priori, according as the general laws on which their proofs depend are so. JOHN STUART MILL, however, is of the opposite opinion. At first, indeed, he seems to mean to base the science, like LEIBNIZ, on definitions,¹ since he defines the individual numbers in the same way as LEIBNIZ; but this spark of sound sense is no sooner lit than extinguished, thanks to his preconception that all knowledge is empirical. He informs us, in fact,² that these definitions are not definitions in the logical sense; not only do they fix the meaning of a term, but they also assert along with it an observed matter of fact. But what in the world can be the observed fact, or the physical fact (to use another of MILL's expressions), which is asserted in the definition of the number 777864? Of all the whole wealth of physical facts in his apocalypse, MILL names for us only a solitary one, the one which he holds is asserted in the definition of the number 3. It consists, according to him, in this, that collections of objects exist, which while they impress the senses thus, ° °, may be separated into two parts, thus, ° ° °. What a mercy, then, that not everything in the world is nailed down; for if it were, we should not be able to bring off this separation, and $2 + 1$ would not be 3! What a pity that MILL did not also illustrate the physical facts underlying the numbers 0 and 1!

"This proposition being granted," MILL goes on, "we term all such parcels Threes." From this we can see that it is really incorrect to speak of three strokes when the clock strikes three, or to call sweet, sour and bitter three sensations

¹ *System of Logic*, Bk. III, cap. xxiv, § 5 (German translation by J. Schiel).

² *Op. cit.*, Bk. II, cap. vi, § 2.

Is number something subjective?

§ 26. This line of thought may easily lead us to regard number as something subjective. It looks as though the way in which number originates in us may prove the key to its essential nature. The matter would thus become one for a psychological enquiry. This is indeed what LIPSCHITZ¹ is thinking of when he writes: "Anyone who proposes to make a survey of a number of things, will begin with some one particular thing and proceed by continually adding a new one to those previously selected." This seems to describe much better how we acquire say the intuition of a constellation than how we construct numbers. The intention to make a

¹ Baumann, op. cit., Vol. II, p. 428 [*New Theory of Vision*, § 109].

² Op. cit., p. 1. I take it that Lipschitz means to refer to a mental process.

survey is not essential; for it will scarcely be maintained that it becomes any easier to survey a herd after we have learned how many head it comprises.

No description of this kind of the mental processes which precede the forming of a judgement of number*, even if more to the point than this one, can ever take the place of a genuine definition of the concept. It can never be adduced in proof of any proposition of arithmetic; it acquaints us with none of the properties of numbers. For number is no whit more an object of psychology or a product of mental processes than, let us say, the North Sea is. The objectivity of the North Sea is not affected by the fact that it is a matter of our arbitrary choice which part of all the water on the earth's surface we mark off and elect to call the "North Sea". This is no reason for deciding to investigate the North Sea by psychological methods. In the same way number, too, is something objective. If we say "The North Sea is 10,000 square miles in extent" then neither by "North Sea" nor by "10,000" do we refer to any state of or process in our minds: on the contrary, we assert something quite objective, which is independent of our ideas and everything of the sort. If we should happen to wish, on another occasion, to draw the boundaries of the North Sea differently or to understand something different by "10,000", that would not make false the same content that was previously true: what we should perhaps rather say is, that a false content had now taken the place of a true, without in any way depriving its predecessor of its truth.

The botanist means to assert something just as factual when he gives the Number of a flower's petals as when he gives their colour. The one depends on our arbitrary choice just as little as the other. There does, therefore, exist a certain similarity between Number and colour; it consists, however, not in our becoming acquainted with them both in external things through the senses, but in their being both objective.

* [For Frege, a "judgement of number" (*Zahlurteil*), like its verbal expression, a "statement of number" (*Zahlangabe*), is one as to *how many* of something there are.]

I distinguish what I call objective from what is handleable or spatial or actual. The axis of the earth is objective, so is the centre of mass of the solar system, but I should not call them actual in the way the earth itself is so. We often speak of the equator as an *imaginary* line; but it would be wrong to call it a *fictitious* line; it is not a creature of thought, the product of a psychological process, but is only recognized or apprehended by thought. If to be recognized were to be created, then we should be able to say nothing positive about the equator for any period earlier than the date of its alleged creation.

Space, according to KANT, belongs to appearance. For other rational beings it might take some form quite different from that in which we know it. Indeed, we cannot even know whether it appears the same to one man as to another; for we cannot, in order to compare them, lay one man's intuition of space beside another's. Yet there is something objective in it all the same; everyone recognizes the same geometrical axioms, even if only by his behaviour, and must do so if he is to find his way about the world. What is objective in it is what is subject to laws, what can be conceived and judged, what is expressible in words. What is purely intuitable is not communicable. To make this clear, let us suppose two rational beings such that projective properties and relations are all they can intuit—the lying of three points on a line, of four points on a plane, and so on; and let what the one intuits as a plane appear to the other as a point, and vice versa, so that what for the one is the line joining two points for the other is the line of intersection of two planes, and so on with the one intuition always dual to the other. In these circumstances they could understand one another quite well and would never realize the difference between their intuitions, since in projective geometry every proposition has its dual counterpart; any disagreements over points of aesthetic appreciation would not

be conclusive evidence. Over all geometrical theorems they would be in complete agreement, only interpreting the words differently in terms of their respective intuitions. With the word "point", for example, one would connect one intuition and the other another. We can therefore still say that this word has for them an objective meaning, provided only that by this meaning we do not understand any of the peculiarities of their respective intuitions. And in this sense the axis of the earth too is objective.

The word "white" ordinarily makes us think of a certain sensation, which is, of course, entirely subjective; but even in ordinary everyday speech, it often bears, I think, an objective sense. When we call snow white, we mean to refer to an objective quality which we recognize, in ordinary daylight, by a certain sensation. If the snow is being seen in a coloured light, we take that into account in our judgement and say, for instance, "It *appears* red at present, but it *is* white." Even a colour-blind man can speak of red and green, in spite of the fact that he does not distinguish between these colours in his sensations; he recognizes the distinction by the fact that others make it, or perhaps by making a physical experiment. Often, therefore, a colour word does not signify our subjective sensation, which we cannot know to agree with anyone else's (for obviously our calling things by the same name does not guarantee as much), but rather an objective quality. It is in this way that I understand objective to mean what is independent of our sensation, intuition and imagination, and of all construction of mental pictures out of memories of earlier sensations, but not what is independent of the reason,—for what are things independent of the reason? To answer that would be as much as to judge without judging, or to wash the fur without wetting it.

which is utterly incompatible with the existence of arithmetic.

Solution of the difficulty.

§ 45. It is time now to survey what has been so far established and the questions which still remain unanswered.

Number is not abstracted from things in the way that colour, weight and hardness are, nor is it a property of things in the sense that they are. But when we make a statement of number*, what is that of which we assert something? This question remained unanswered.

Number is not anything physical, but nor is it anything subjective (an idea).

Number does not result from the annexing of thing to thing. It makes no difference even if we assign a fresh name after each act of annexation.

The terms "multitude", "set" and "plurality" are unsuitable, owing to their vagueness, for use in defining number.

In considering the terms one and unit, we left unanswered the question: How are we to curb the arbitrariness of our ways of regarding things, which threatens to obliterate every distinction between one and many?

Being isolated, being undivided, being incapable of dissection—none of these can serve as a criterion for what we express by the word "one".

If we call the things to be counted units, then the assertion that units are identical is, if made without qualification, false. That they are identical in this respect or that is true enough but of no interest. It is actually necessary that the things to be counted should be different if number is to get beyond 1.

We were thus forced, it seemed, to ascribe to units two contradictory qualities, namely identity and distinguishability.

A distinction must be drawn between one and unit. The word "one", as the proper name of an object of mathe-

* [See n. on p. 34^o supra.]

matical study, does not admit of a plural. Consequently, it is nonsense to make numbers result from the putting together of ones. The plus symbol in $1 + 1 = 2$ cannot mean such a putting together.

§ 46. It should throw some light on the matter to consider number in the context of a judgement which brings out its basic use. While looking at one and the same external phenomenon, I can say with equal truth both "It is a copse" and "It is five trees", or both "Here are four companies" and "Here are 500 men". Now what changes here from one judgement to the other is neither any individual object, nor the whole, the agglomeration of them, but rather my terminology. But that is itself only a sign that one concept has been substituted for another. This suggests as the answer to the first of the questions left open in our last paragraph, that the content of a statement of number is an assertion about a concept. This is perhaps clearest with the number 0. If I say "Venus has 0 moons", there simply does not exist any moon or agglomeration of moons for anything to be asserted of; but what happens is that a property is assigned to the concept "moon of Venus", namely that of including nothing under it. If I say "the King's carriage is drawn by four horses", then I assign the number four to the concept "horse that draws the King's carriage".

It may be objected that a concept like "inhabitant of Germany" would then possess, in spite of there being no change in its defining characteristics, a property which varied from year to year, if statements of the number of inhabitants did really assert a property of it. In reply to this, it is enough to point out that objects too can change their properties without that preventing us from recognizing them as the same. In this case, however, we can actually give the explanation more precisely. The fact is that the concept "inhabitant of Germany" contains a time-reference as a variable element in it, or, to put it mathematically, is a function of the time.

Instead of "*a* is an inhabitant of Germany" we can say "*a* inhabits Germany", and this refers to the current date at the time. Thus in the concept itself there is already something fluid. On the other hand, the number belonging to the concept "inhabitant of Germany at New Year 1883, Berlin time" is the same for all eternity.

§ 47. That a statement of number should express something factual independent of our way of regarding things can surprise only those who think a concept is something subjective like an idea. But this is a mistaken view. If, for example, we bring the concept of body under that of what has weight, or the concept of whale under that of mammal, we are asserting something objective; but if the concepts themselves were subjective, then the subordination of one to the other, being a relation between them, would be subjective too, just as a relation between ideas is. It is true that at first sight the proposition

"All whales are mammals"

seems to be not about concepts but about animals; but if we ask which animal then are we speaking of, we are unable to point to any one in particular. Even supposing a whale is before us, our proposition still does not state anything about it. We cannot infer from it that the animal before us is a mammal without the additional premiss that it is a whale, as to which our proposition says nothing. As a general principle, it is impossible to speak of an object without in some way designating or naming it; but the word "whale" is not the name of any individual creature. If it be replied that what we are speaking of is not, indeed, an individual definite object, but nevertheless an indefinite object, I suspect that "indefinite object" is only another term for concept, and a poor one at that, being

self-contradictory. However true it may be that our proposition can only be verified by observing particular animals, that proves nothing as to its content; to decide what it is about, we do not need to know whether it is true or not, nor for what reasons we believe it to be true. If, then, a concept is something objective, an assertion about a concept can have for its part a factual content.

§ 48. Several examples given earlier gave the false impression that different numbers may belong to the same thing. This is to be explained by the fact that we were there taking objects to be what has number. As soon as we restore possession to the rightful owner, the concept, numbers reveal themselves as no less mutually exclusive in their own sphere than colours are in theirs.

We now see also why there is a temptation to suggest that we get the number by abstraction from the things. What we do actually get by such means is the concept, and in this we then discover the number. Thus abstraction does genuinely often precede the formation of a judgement of number. It would be an analogous confusion to maintain that the way to acquire the concept of fire risk is to build a frame house, with timber gables, thatched roof and leaky chimneys.

The concept has a power of collecting together far superior to the unifying power of synthetic apperception. By means of the latter it would not be possible to join the inhabitants of Germany together into a whole; but we can certainly bring them all under the concept "inhabitant of Germany" and number them.

The wide range of applicability of number also now becomes explicable. Not without reason do we feel it puzzling that we should be able to assert the same predicate of physical and mental phenomena alike, of the spatial and temporal and of the non-spatial and non-temporal. But then, this simply is not what occurs with statements of number any more than elsewhere; numbers are assigned only to the concepts, under

which are brought both the physical and mental alike, both the spatial and temporal and the non-spatial and non-temporal.

on quite a number of difficult concepts, among them that of the infinitesimal, and its scope is not restricted to mathematics either.

The self-subsistence which I am claiming for number is not to be taken to mean that a number word signifies something when removed from the context of a proposition, but only to preclude the use of such words as predicates or attributes, which appreciably alters their meaning.

§ 61. But, it will perhaps be objected, even if the Earth is really not imaginable, it is at any rate an external thing, occupying a definite place; but where is the number 4? It is neither outside us nor within us. And, taking those words in their spatial sense, that is quite correct. To give spatial co-ordinates for the number 4 makes no sense; but the only conclusion to be drawn from that is, that 4 is not a spatial object, not that it is not an object at all. Not every object has a place. Even our ideas^a are in this sense not within us (beneath our skin); beneath the skin are nerve-ganglia, blood corpuscles and things of that sort, but not ideas. Spatial predicates are not applicable to them: an idea is neither to the right nor to the left of another idea; we cannot give distances between ideas in millimetres. If we still say they are within us, then we intend by this to signify that they are subjective.

Yet even granted that what is subjective has no position in space, how is it possible for the number 4, which is objective, not to be anywhere? Now I contend that there is no contradiction in this whatever. It is a fact that the number 4 is exactly the same for everyone who deals with it; but that has nothing to do with being spatial. Not every objective object^a has a place.

^a The problem here is not, as might be thought, to produce a segment bounded by two distinct points whose length is dx , but rather to define the sense of an identity of the type

$$df(x) = g(x)dx$$

^a Understanding this word in its purely psychological, not in its psychophysical, sense.

^a [objektiver Gegenstand]

To obtain the concept of Number, we must fix the sense of a numerical identity.

§ 62. How, then, are numbers to be given to us, if we cannot have any ideas or intuitions of them? Since it is only in the context of a proposition that words have any meaning, our problem becomes this: To define the sense of a proposition in which a number word occurs. That, obviously, leaves us still a very wide choice. But we have already settled that number words are to be understood as standing for self-subsistent objects. And that is enough to give us a class of propositions which must have a sense, namely those which express our recognition of a number as the same again. If we are to use the symbol a to signify an object, we must have a criterion for deciding in all cases whether b is the same as a , even if it is not always in our power to apply this criterion. In our present case, we have to define the sense of the proposition

“the number which belongs to the concept F is the same as that which belongs to the concept G ”;

that is to say, we must reproduce the content of this proposition in other terms, avoiding the use of the expression

“the Number which belongs to the concept F ”.

In doing this, we shall be giving a general criterion for the identity of numbers. When we have thus acquired a means of arriving at a determinate number and of recognizing it again as the same, we can assign it a number word as its proper name.

§ 63. HUME¹ long ago mentioned such a means: “When two numbers are so combined as that the one has always an unit answering to every unit of the other, we pronounce them equal.” This opinion, that numerical equality or identity

¹ Baumann, op. cit., Vol. II, p. 363 *Treatise*, Bk. I, Part iii, Sect. 1).

must be defined in terms of one-one correlation, seems in recent years to have gained widespread acceptance among mathematicians.¹ But it raises at once certain logical doubts and difficulties, which ought not to be passed over without examination.

It is not only among numbers that the relationship of identity is found. From which it seems to follow that we ought not to define it specially for the case of numbers. We should expect the concept of identity to have been fixed first, and that then, from it together with the concept of Number, it must be possible to deduce when Numbers are identical with one another, without there being need for this purpose of a special definition of numerical identity as well.

As against this, it must be noted that for us the concept of Number has not yet been fixed, but is only due to be determined in the light of our definition of numerical identity. Our aim is to construct the content of a judgement which can be taken as an identity such that each side of it is a number. We are therefore proposing not to define identity specially for this case, but to use the concept of identity, taken as already known, as a means for arriving at that which is to be regarded as being identical. Admittedly, this seems to be a very odd kind of definition, to which logicians have not yet paid enough attention; but that it is not altogether unheard of, may be shown by a few examples.

§ 64. The judgement "line a is parallel to line b ", or, using symbols,

$$a // b$$

can be taken as an identity. If we do this, we obtain the concept of direction, and say: "the direction of line a is identical with the direction of line b ". Thus we replace the symbol $//$ by

¹ Cf. E. Schröder, op. cit., pp. 7-8; E. Kossak, *Die Elemente der Arithmetik, Programm des Friedrich-Werder'schen Gymnasiums*, Berlin 1872, p. 16; G. Cantor, *Grundlagen einer allgemeinen Mannichfaltigkeitslehre*, Leipzig 1883.

the more generic symbol $=$, through removing what is specific in the content of the former and dividing it between a and b . We carve up the content in a way different from the original way, and this yields us a new concept. Often, of course, we conceive of the matter the other way round, and many authorities define parallel lines as lines whose directions are identical. The proposition that "straight lines parallel to the same straight line are parallel to one another" can then be very conveniently proved by invoking the analogous proposition about things identical with the same thing. Only the trouble is, that this is to reverse the true order of things. For surely everything geometrical must be given originally in intuition. But now I ask whether anyone has an intuition of the direction of a straight line. Of a straight line, certainly; but do we distinguish in our intuition between this straight line and something else, its direction? That is hardly plausible. The concept of direction is only discovered at all as a result of a process of intellectual activity which takes its start from the intuition. On the other hand, we do have an idea of parallel straight lines. Our convenient proof is only made possible by surreptitiously assuming, in our use of the word "direction", what was to be proved; for if it were false that "straight lines parallel to the same straight line are parallel to one another", then we could not transform $a // b$ into an identity.

We can obtain in a similar way from the parallelism of planes a concept corresponding to that of direction in the case of straight lines; I have seen the name "orientation"* used for this. From geometrical similarity is derived the concept of shape, so that instead of "the two triangles are similar" we say "the two triangles are of identical shape" or "the shape of the one is identical with that of the other". It is possible to derive yet another concept in this way, to which no name has yet been given, from the collineation of geometrical forms.

* [Stellung]

§ 65. Now in order to get, for example, from parallelism¹ to the concept of direction, let us try the following definition:
The proposition

"line *a* is parallel to line *b*"

is to mean the same as

"the direction of line *a* is identical with the direction of line *b*".

This definition departs to some extent from normal practice, in that it serves ostensibly to adapt the relation of identity, taken as already known, to a special case, whereas in reality it is designed to introduce the expression "the direction of line *a*", which only comes into it incidentally. It is this that gives rise to a second doubt—are we not liable, through using such methods, to become involved in conflict with the well-known laws of identity? Let us see what these are. As analytic truths they should be capable of being derived from the concept itself alone. Now LEIBNIZ's² definition is as follows:

"Things are the same as each other, of which one can be substituted for the other without loss of truth".*

This I propose to adopt as my own definition of identity. Whether we use "the same", as LEIBNIZ does, or "identical", is not of any importance. "The same" may indeed be thought to refer to complete agreement in all respects, "identical" only to agreement in this respect or that; but we can adopt a form of expression such that this distinction vanishes. For example, instead of "the segments are identical in length", we can say "the length of the segments is identical" or "the same", and instead of "the surfaces are identical in colour", "the colour of the surfaces is identical". And this is the way in which the word has been used in the examples above.

¹ I have chosen to discuss here the case of parallelism, because I can express myself less clumsily and make myself more easily understood. The argument can readily be transferred in essentials to apply to the case of numerical identity.

² *Non inolegens specimen demonstrandi in abstractis* (Erdmann edn., p. 94).

* [*Eadem sunt, quarum unum potest substitui alteri salva veritate.*]

** [Still more "equal" or "similar", which the German *gleich* can also mean.]

Now, it is actually the case that in universal substitutability all the laws of identity are contained.

In order, therefore, to justify our proposed definition of the direction of a line, we should have to show that it is possible, if line *a* is parallel to line *b*, to substitute

"the direction of *b*"

everywhere for

"the direction of *a*".

This task is made simpler by the fact that we are being taken initially to know of nothing that can be asserted about the direction of a line except the one thing, that it coincides with the direction of some other line. We should thus have to show only that substitution was possible in an identity of this one type, or in judgement-contents containing such identities as constituent elements.¹ The meaning of any other type of assertion about directions would have first of all to be defined, and in defining it we can make it a rule always to see that it must remain possible to substitute for the direction of any line the direction of any line parallel to it.

§ 66. But there is still a third doubt which may make us suspicious of our proposed definition. In the proposition

"the direction of *a* is identical with the direction of *b*"

the direction of *a* plays the part of an object,² and our definition affords us a means of recognizing this object as the same again, in case it should happen to crop up in some other guise, say as the direction of *b*. But this means does not provide for all

¹ In a hypothetical judgement, for example, an identity of directions might occur as antecedent or consequent.

² This is shown by the definite article. A concept is for me that which can be predicate of a singular judgement-content, an object that which can be subject of the same. If in the proposition

"the direction of the axis of the telescope is identical with the direction of the Earth's axis"

we take the direction of the axis of the telescope as subject, then the predicate is "identical with the direction of the Earth's axis". This is a concept. But the direction of the Earth's axis is only an element in the predicate; it, since it can also be made the subject, is an object.

JUST
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cases. It will not, for instance, decide for us whether England is the same as the direction of the Earth's axis—if I may be forgiven an example which looks nonsensical. Naturally no one is going to confuse England with the direction of the Earth's axis; but that is no thanks to our definition of direction. That says nothing as to whether the proposition

"the direction of a is identical with q "

should be affirmed or denied, except for the one case where q is given in the form of "the direction of r ". What we lack is the concept of direction; for if we had that, then we could lay it down that, if q is not a direction, our proposition is to be denied, while if it is a direction, our original definition will decide whether it is to be denied or affirmed. So the temptation is to give as our definition

q is a direction, if there is a line b whose direction is q .

But then we have obviously come round in a circle. For in order to make use of this definition, we should have to know already in every case whether the proposition

" q is identical with the direction of b "

was to be affirmed or denied.

§ 67. If we were to try saying: q is a direction if it is introduced by means of the definition set out above, then we should be treating the way in which the object q is introduced as a property of q , which it is not. The definition of an object does not, as such, really assert anything about the object, but only lays down the meaning of a symbol. After this has been done, the definition transforms itself into a judgement, which does assert about the object; but now it no longer introduces the object, it is exactly on a level with other assertions made about it. If, moreover, we were to adopt this way out, we should have to be presupposing that an object can only be given in one single way; for otherwise it would not follow, from the fact that q was not introduced by means of our definition, that it *could* not have been introduced by means of it. All identities would then amount simply to this, that whatever

is given to us in the same way is to be reckoned as the same. This, however, is a principle so obvious and so sterile as not to be worth stating. We could not, in fact, draw from it any conclusion which was not the same as one of our premisses. Why is it, after all, that we are able to make use of identities with such significant results in such diverse fields? Surely it is rather because we are able to recognize something as the same again even although it is given in a different way.

§ 68. Seeing that we cannot by these methods obtain any concept of direction with sharp limits to its application, nor therefore, for the same reasons, any satisfactory concept of Number either, let us try another way. If line a is parallel to line b , then the extension of the concept "line parallel to line a " is identical with the extension of the concept "line parallel to line b "; and conversely, if the extensions of the two concepts just named are identical, then a is parallel to b . Let us try, therefore, the following type of definition:

the direction of line a is the extension of the concept "parallel to line a ";

the shape of triangle t is the extension of the concept "similar to triangle t ".

To apply this to our own case of Number, we must substitute for lines or triangles concepts, and for parallelism or similarity the possibility of correlating one to one the objects which fall under the one concept with those which fall under the other. For brevity, I shall, when this condition is satisfied, speak of the concept F being *equal** to the concept G ; but I must ask that this word be treated as an arbitrarily selected symbol, whose meaning is to be gathered, not from its etymology, but from what is here laid down.

My definition is therefore as follows:

the Number which belongs to the concept F is the

* [*Gleichzahlig*—an invented word, literally "identinumerate" or "tautarithmic"; but these are too clumsy for constant use. Other translators have used "equinumerous"; "equinumerate" would be better. Later writers have used "similar" in this connexion (but as a predicate of "class" not of "concept").]

extension¹ of the concept "equal to the concept *F*".

§ 69. That this definition is correct will perhaps be hardly evident at first. For do we not think of the extensions of concepts as something quite different from numbers? How we do think of them emerges clearly from the basic assertions we make about them. These are as follows:

1. that they are identical,
2. that one is wider than the other.

But now the proposition:

the extension of the concept "equal to the concept *F*"
is identical with the extension of the concept "equal to the concept *G*"

is true if and only if the proposition

"the same number belongs to the concept *F* as to the concept *G*"

is also true. So that here there is complete agreement.

Certainly we do not say that one number is wider than another, in the sense in which the extension of one concept is wider than that of another; but then it is also quite impossible for a case to occur where

the extension of the concept "equal to the concept *F*"
would be wider than

¹ I believe that for "extension of the concept" we could write simply "concept". But this would be open to the two objections:

1. that this contradicts my earlier statement that the individual numbers are objects, as is indicated by the use of the definite article in expressions like "the number two" and by the impossibility of speaking of ones, twos, etc. in the plural, as also by the fact that the number constitutes only an element in the predicate of a statement of number;
2. that concepts can have identical extensions without themselves coinciding.

I am, as it happens, convinced that both these objections can be met; but to do this would take us too far afield for present purposes. I assume that it is known what the extension of a concept is.

the extension of the concept "equal to the concept *G*". For on the contrary, when all concepts equal to *G* are also equal to *F*, then conversely also all concepts equal to *F* are equal to *G*. "Wider" as used here must not, of course, be confused with "greater" as used of numbers.

Another type of case is, I admit, conceivable, where the extension of the concept "equal to the concept *F*" might be wider or less wide than the extension of some other concept, which then could not, on our definition, be a Number; and it is not usual to speak of a Number as wider or less wide than the extension of a concept; but neither is there anything to prevent us speaking in this way, if such a case should ever occur.

Our definition completed and its worth proved.

§ 70. Definitions show their worth by proving fruitful. Those that could just as well be omitted and leave no link missing in the chain of our proofs should be rejected as completely worthless.

Let us try, therefore, whether we can derive from our definition of the Number which belongs to the concept *F* any of the well-known properties of numbers. We shall confine ourselves here to the simplest.

For this it is necessary to give a rather more precise account still of the term "equality". "Equal" we defined in terms of one-one correlation, and what must now be laid down is how this latter expression is to be understood, since it might easily be supposed that it had something to do with intuition.

We will consider the following example. If a waiter wishes to be certain of laying exactly as many knives on a table as plates, he has no need to count either of them; all he

then it is also equal to the concept G ;

and

if the concept H is equal to the concept G ,
then it is also equal to the concept F .

The first proposition amounts to this, that there exists a relation which correlates one to one the objects falling under the concept H with those falling under the concept G , if there exists a relation ϕ which correlates one to one the objects falling under the concept F with those falling under the concept G and if there exists also a relation ψ which correlates one to one the objects falling under the concept H with those falling under the concept F . The following arrangement of letters will make this easier to grasp:

$\psi F \phi G$.

Such a relation can in fact be given: it is to be found in the judgement-content

"there exists an object to which c stands in the relation ψ and which stands to b in the relation ϕ ",

if we subtract from it c and b —take them, that is, as the terms of the relation. It can be shown that this relation is one-one, and that it correlates the objects falling under the concept H with those falling under the concept G .

A similar proof can be given of the second proposition also. And with that, I hope, enough has been indicated of my methods to show that our proofs are not dependent at any point on borrowings from intuition, and that our definitions can be used to some purpose.

§ 74. We can now pass on to the definitions of the individual numbers.

¹ And likewise of the converse: If the number which belongs to the concept F is the same as that which belongs to the concept G , then the concept F is equal to the concept G .

Since nothing falls under the concept "not identical with itself", I define nought as follows:

o is the Number which belongs to the concept "not identical with itself".

Some may find it shocking that I should speak of a concept in this connexion. They will object, very likely, that it contains a contradiction and is reminiscent of our old friends the square circle and wooden iron. Now I believe that these old friends are not so black as they are painted. To be of any use is, I admit, the last thing we should expect of them; but at the same time, they cannot do any harm, if only we do not assume that there is anything which falls under them—and to that we are not committed by merely using them. That a concept contains a contradiction is not always obvious without investigation; but to investigate it we must first possess it and, in logic, treat it just like any other. All that can be demanded of a concept from the point of view of logic and with an eye to rigour of proof is only that the limits to its application should be sharp, that it should be determined, with regard to every object whether it falls under that concept or not. But this demand is completely satisfied by concepts which, like "not identical with itself", contain a contradiction; for of every object we know that it does not fall under any such concept.¹

On my use of the word "concept",

" a falls under the concept F "

is the general form of a judgement-content which deals with

¹ The definition of an object in terms of a concept under which it falls is a very different matter. For example, the expression "the largest proper fraction" has no content, since the definite article claims to refer to a definite object. On the other hand, the concept "fraction smaller than 1 and such that no fraction smaller than one exceeds it in magnitude" is quite unexceptionable: in order, indeed, to prove that there exists no such fraction, we must make use of just this concept, despite its containing a contradiction. If, however, we wished to

an object a and permits of the insertion for a of anything whatever. And in this sense

" a falls under the concept 'not identical with itself'" has the same meaning as

" a is not identical with itself"

or

" a is not identical with a ".

I could have used for the definition of nought any other concept under which no object falls. But I have made a point of choosing one which can be proved to be such on purely logical grounds; and for this purpose "not identical with itself" is the most convenient that offers, taking for the definition of "identical" the one from LEIBNIZ given above [(§ 65)], which is in purely logical terms.

§ 75. Now it must be possible to prove, by means of what has already been laid down, that every concept under which no object falls is equal to every other concept under which no object falls, and to them alone; from which it follows that 0 is the Number which belongs to any such concept, and that no object falls under any concept if the number which belongs to that concept is 0.

If we assume that no object falls under either the concept F or the concept G , then in order to prove them equal we have to find a relation ϕ which satisfies the following conditions:

every object which falls under F stands in the relation ϕ to an object which falls under G ; and to every object which falls under G there stands in the relation ϕ an object falling under F .

use this concept for defining an object falling under it, it would, of course, be necessary first to show two distinct things:

1. that some object falls under this concept;
2. that only one object falls under it.

Now since the first of these propositions, not to mention the second, is false, it follows that the expression "the largest proper fraction" is senseless.

In view of what has been said above [(§ 71)] on the meaning of these expressions, it follows, on our assumption [that no object falls under either concept], that these conditions are satisfied by every relation whatsoever, and therefore among others by identity, which is moreover a one-one relation; for it meets both the requirements laid down [in § 72] above.

If, to take the other case, some object, say a , does fall under G , but still none falls under F , then the two propositions

" a falls under G "

and

"no object falling under F stands to a in the relation ϕ "

are both true together for every relation ϕ ; for the first is made true by our first assumption and the second by our second assumption. If, that is, there exists no object falling under F , then a fortiori there exists no object falling under F which stands to a in any relation whatsoever. There exists, therefore, no relation by which the objects falling under F can be correlated with those falling under G so as to satisfy our definition [of equality], and accordingly the concepts F and G are unequal.

§ 76. I now propose to define the relation in which every two adjacent members of the series of natural numbers stand to each other. The proposition:

"there exists a concept F , and an object falling under it x , such that the Number which belongs to the concept F is n and the Number which belongs to the concept 'falling under F but not identical with x ' is m "

is to mean the same as

" n follows in the series of natural numbers directly after m ".

I avoid the expression " n is the Number following next after m ", because the use of the definite article cannot be justified until we have first proved two propositions.¹ For

¹ See note on p. 87^e f.

the same reason I do not yet say at this point " $n = m + 1$," for to use the symbol $=$ is likewise to designate $(m + 1)$ an object.

§ 77. Now in order to arrive at the number 1, we have first of all to show that there is something which follows in the series of natural numbers directly after 0.

Let us consider the concept—or, if you prefer it, the predicate—"identical with 0". Under this falls the number 0. But under the concept "identical with 0 but not identical with 0", on the other hand, no object falls, so that 0 is the Number which belongs to this concept. We have, therefore, a concept "identical with 0" and an object falling under it 0, of which the following propositions hold true:

the Number which belongs to the concept "identical with 0" is identical with the Number which belongs to the concept "identical with 0";

the Number which belongs to the concept "identical with 0 but not identical with 0" is 0.

Therefore, on our definition [(§ 76)], the Number which belongs to the concept "identical with 0" follows in the series of natural numbers directly after 0.

Now if we give the following definition:

1 is the Number which belongs to the concept "identical with 0",

we can then put the preceding conclusion thus:

1 follows in the series of natural numbers directly after 0.

It is perhaps worth pointing out that our definition of the number 1 does not presuppose, for its objective legitimacy, any matter of observed fact.¹ It is easy to get confused over this, seeing that certain subjective conditions must be satisfied if we are to be able to arrive at the definition, and that sense experiences are what prompt us to frame it.² All this, how-

¹ Non-general proposition.

² Cf. B. Erdmann, *Die Axiome der Geometrie*, p. 164.

ever, may be perfectly correct, without the propositions so arrived at ceasing to be a priori. One such condition is, for example, that blood of the right quality must circulate in the brain in sufficient volume—at least so far as we know; but the truth of our last proposition does not depend on this; it still holds, even if the circulation stops; and even if all rational beings were to take to hibernating and fall asleep simultaneously, our proposition would not be, say, cancelled for the duration, but would remain quite unaffected. For a proposition to be true is just not the same thing as for it to be thought.

§ 78. I proceed to give here a list of several propositions to be proved by means of our definitions. The reader will easily see for himself in outline how this can be done.

1. If a follows in the series of natural numbers directly after 0, then a is $= 1$.
2. If 1 is the Number which belongs to a concept, then there exists an object which falls under that concept.
3. If 1 is the Number which belongs to a concept F ; then, if the object x falls under the concept F and if y falls under the concept F , x is $= y$; that is, x is the same as y .
4. If an object falls under the concept F , and if it can be inferred generally from the propositions that x falls under the concept F and that y falls under the concept F that x is $= y$, then 1 is the Number which belongs to the concept F .
5. The relation of m to n which is established by the proposition:

" n follows in the series of natural numbers directly after m "

is a one-one relation.

There is nothing in this so far to state that for every Number there exists another Number which follows directly after it, or after which it directly follows, in the series of natural numbers.

6. Every Number except 0 follows in the series of natural numbers directly after a Number.

§ 79. Now in order to prove that after every Number (n) in the series of natural numbers a Number directly follows, we must produce a concept to which this latter Number belongs. For this we shall choose the concept

"member of the series of natural numbers ending with n ",

which requires first to be defined.

To start with, let me repeat in slightly different words the definition of following in a series given in my *Begriffsschrift* [Concept Writing]*:

The proposition

"if every object to which x stands in the relation ϕ falls under the concept F , and if from the proposition that d falls under the concept F it follows universally, whatever d may be, that every object to which d stands in the relation ϕ falls under the concept F , then y falls under the concept F , whatever concept F may be"

is to mean the same as

" y follows in the ϕ -series after x "

and again the same as

" x comes in the ϕ -series before y ".

§ 80. It will not be time wasted to make a few comments on this. First, since the relation ϕ has been left indefinite, the series is not necessarily to be conceived in the form of a spatial and temporal arrangement, although these cases are not excluded.

Next, there may be those who will prefer some other definition as being more natural, as for example the following: if starting from x we transfer our attention continually from one object to another to which it stands in the relation ϕ , and if by this procedure we can finally reach y , then we say that y follows in the ϕ -series after x .

* [Cp. § 91 and notes.]

Now this describes a way of discovering that y follows. It does not define what is meant by y 's following. Whether as our attention shifts, we reach y may depend on all sorts of subjective contributory factors, for example on the amount of time at our disposal or on the extent of our familiarity with the things concerned. Whether y follows in the ϕ -series after x has in general absolutely nothing to do with our attention and the circumstances in which we transfer it; on the contrary, it is a question of fact, just as much as it is a fact that a green leaf reflects light rays of certain wave-lengths whether or not these fall into my eye and give rise to a sensation, and a fact that a grain of salt is soluble in water whether or not I drop it into water and observe the result, and a further fact that it remains still soluble even when it is utterly impossible for me to make any experiment with it.

My definition lifts the matter onto a new plane; it is no longer a question of what is subjectively possible but of what is objectively definite. For in literal fact, that one proposition follows from certain others is something objective, something independent of the laws that govern the movements of our attention, and something to which it is immaterial whether we actually draw the conclusion or not. What I have provided is a criterion which decides in every case the question Does it follow after? wherever it can be put; and however much in particular cases we may be prevented by extraneous difficulties from actually reaching a decision, that is irrelevant to the fact itself.

We have no need always to run through all the members of a series intervening between the first member and some given object in order to ascertain that the latter does follow after the former. Given, for example, that in the ϕ -series b follows after a and c after b , then we can deduce from our definition that c follows after a , without even knowing the intervening members of the series.

Only by means of this definition of following in a series is it possible to reduce the argument from n to $n + 1$, which on the face of it is peculiar to mathematics, to the general laws of logic.

certainly, emerge as independent nevertheless of sequence in series, but not so transfinite Numbers. But now in ordinary use the word "Number" and the question "how many?" have no reference to arrangement in a fixed order. CANTOR's Number gives rather the answer to the question: "the how-manyth member in the succession is the last member?" So that it seems to me that my terminology accords better with ordinary usage. If we extend the meaning of a word, we should take care that, so far as possible, no general proposition is invalidated in the process, especially one so fundamental as that which asserts of Number its independence of sequence in series. For us, because our concept of Number has from the outset covered infinite numbers as well, no extension of its meaning has been necessary at all.

§ 86. To obtain his infinite Numbers CANTOR introduces the relation-concept of following in a succession, which differs from my "following in a series". On his account we should get a succession if, for example, we arranged the finite positive whole numbers in an order such that the odd numbers followed one another just as they do among themselves, in the series of natural numbers, and similarly the even numbers, but with the further stipulation that every even number was to follow after every odd number. In this succession 0, for instance, would follow after 13. But no number would come directly before 0. Now this is a situation which cannot arise on my definition of following in the series. It can be strictly proved, without appeal to any axiom borrowed from intuition, that if y follows in the ϕ -series after x then there exists an object which comes in that series directly before y . Now it looks to me as though precise definitions of following in the succession and of Number in CANTOR's sense are still wanting. Thus CANTOR appeals to the rather mysterious "inner intuition", where he ought to have made an effort to find, and indeed could actually have found, a proof from definitions. For I think I can anticipate how his two concepts could have been made precise. At any rate, nothing in what I have said is

intended to question in any way their legitimacy or their fertility. On the contrary, I find special reason to welcome in CANTOR's investigations an extension of the frontiers of science, because they have led to the construction of a purely arithmetical route to higher transfinite Numbers (powers).

V: Conclusion.

§ 87. I hope I may claim in the present work to have made it probable that the laws of arithmetic are analytic judgements and consequently a priori. Arithmetic thus becomes simply a development of logic, and every proposition of arithmetic a law of logic, albeit a derivative one. To apply arithmetic in the physical sciences is to bring logic to bear on observed facts;¹ calculation becomes deduction. The laws of number will not, as BAUMANN² thinks, need to stand up to practical tests if they are to be applicable to the external world; for in the external world, in the whole of space and all that therein is, there are no concepts, no properties of concepts, no numbers. The laws of number, therefore, are not really applicable to external things; they are not laws of nature. They are, however, applicable to judgements holding good of things in the external world: they are laws of the laws of nature. They assert not connexions between phenomena, but connexions between judgements; and among judgements are included the laws of nature.

§ 88. KANT³ obviously—as a result, no doubt, of defining them too narrowly—underestimated the value of analytic judgements, though it seems that he did have some inkling

¹ Observation itself already includes within it a logical activity.

² Op. cit., Vol. II, p. 670.

³ Op. cit., Vol. III, pp. 39 ff. [Original edns., A6 ff./B10 ff.].

of the wider sense in which I have used the term.¹ On the basis of his definition, the division of judgements into analytic and synthetic is not exhaustive. What he is thinking of is the universal affirmative judgement; there, we can speak of a subject concept and ask—as his definition requires—whether the predicate concept is contained in it or not. But how can we do this, if the subject is an individual object? Or if the judgement is an existential one? In these cases there can simply be no question of a subject concept in KANT's sense.

He seems to think of concepts as defined by giving a simple list of characteristics in no special order; but of all ways of forming concepts, that is one of the least fruitful. If we look through the definitions given in the course of this book, we shall scarcely find one that is of this description. The same is true of the really fruitful definitions in mathematics, such as that of the continuity of a function. What we find in these is not a simple list of characteristics; every element in the definition is intimately, I might almost say organically, connected with the others. A geometrical illustration will make the distinction clear to intuition. If we represent the concepts (or their extensions) by figures or areas in a plane, then the concept defined by a simple list of characteristics corresponds to the area common to all the areas representing the defining characteristics; it is enclosed by segments of their boundary lines. With a definition like this, therefore, what we do—in terms of our illustration—is to use the lines already given in a new way for the purpose of demarcating an area.² Nothing essentially new, however, emerges in the process. But the more fruitful type of definition is a matter of drawing boundary lines that were not previously given at all. What we shall be able to infer from it, cannot be inspected in advance; here,

¹ On p. 43 [B14] he says that a synthetic proposition can only be seen to be true by the law of contradiction, if another synthetic proposition is presupposed.

² Similarly, if the characteristics are joined by "or".

we are not simply taking out of the box again what we have just put into it. The conclusions we draw from it extend our knowledge, and ought therefore, on KANT's view, to be regarded as synthetic; and yet they can be proved by purely logical means, and are thus analytic. The truth is that they are contained in the definitions, but as plants are contained in their seeds, not as beams are contained in a house. Often we need several definitions for the proof of some proposition, which consequently is not contained in any one of them alone, yet does follow purely logically from all of them together.

§ 89. I must also protest against the generality of KANT's¹ dictum: without sensibility no object would be given to us. Nought and one are objects which cannot be given to us in sensation. And even those who hold that the smaller numbers are intuitable, must at least concede that they cannot be given in intuition any of the numbers greater than 1000 1000 1000, about which nevertheless we have plenty of information. Perhaps KANT used the word "object" in a rather different sense; but in that case he omits altogether to allow for nought or one, or for our ∞_1 ,—for these are not concepts either, and even of a concept KANT requires that we should attach its object to it in intuition.

I have no wish to incur the reproach of picking petty quarrels with a genius to whom we must all look up with grateful awe; I feel bound, therefore, to call attention also to the extent of my agreement with him, which far exceeds any disagreement. To touch only upon what is immediately relevant, I consider KANT did great service in drawing the distinction between synthetic and analytic judgements. In calling the truths of geometry synthetic and a priori, he

¹ Op. cit., Vol. III, p. 82 [Original edns., A51/B75.]

revealed their true nature. And this is still worth repeating, since even to-day it is often not recognized. If KANT was wrong about arithmetic, that does not seriously detract, in my opinion, from the value of his work. His point was, that there are such things as synthetic judgements a priori; whether they are to be found in geometry only, or in arithmetic as well, is of less importance.

§ 90. I do not claim to have made the analytic character of arithmetical propositions more than probable, because it can still always be doubted whether they are deducible solely from purely logical laws, or whether some other type of premiss is not involved at some point in their proof without our noticing it. This misgiving will not be completely allayed even by the indications I have given of the proof of some of the propositions; it can only be removed by producing a chain of deductions with no link missing, such that no step in it is taken which does not conform to some one of a small number of principles of inference recognized as purely logical. To this day, scarcely one single proof has ever been conducted on these lines; the mathematician rests content if every transition to a fresh judgement is self-evidently correct, without enquiring into the nature of this self-evidence, whether it is logical or intuitive. A single such step is often really a whole compendium, equivalent to several simple inferences, and into it there can still creep along with these some element from intuition. In proofs as we know them, progress is by jumps, which is why the variety of types of inference in mathematics appears to be so excessively rich; for the bigger the jump, the more diverse are the combinations it can represent of simple inferences with axioms derived from intuition. Often, nevertheless, the correctness of such a transition is immediately self-evident to us, without our ever becoming conscious of the subordinate steps condensed within it; whereupon, since it does not obviously conform to any of the recognized types of logical inference, we are prepared to accept its self-evidence forthwith as intuitive, and the conclusion itself as a synthetic

truth—and this even when obviously it holds good of much more than merely what can be intuited.

On these lines what is synthetic and based on intuition cannot be sharply separated from what is analytic. Nor shall we succeed in compiling with certainty a complete set of axioms of intuition, such that from them alone we can derive, by means of the laws of logic, every proof in mathematics.

§ 91. The demand is not to be denied: every jump must be barred from our deductions. That it is so hard to satisfy must be set down to the tediousness of proceeding step by step. Every proof which is even a little complicated threatens to become inordinately long. And moreover, the excessive variety of logical forms that have been developed in our language makes it difficult to isolate a set of modes of inference which is both sufficient to cope with all cases and easy to take in at a glance.

To minimize these drawbacks, I invented my concept writing. It is designed to produce expressions which are shorter and easier to take in, and to be operated like a calculus by means of a small number of standard moves, so that no step is permitted which does not conform to the rules which are laid down once and for all.¹ It is impossible, therefore, for any premiss to creep into a proof without being noticed. In this way I have, without borrowing any axiom from intuition, given a proof of a proposition² which might at first sight be taken for synthetic, which I shall here formulate as follows:

If the relation of every member of a series to its successor is (one- or) many-one, and if m and y follow in that series after x , then either y comes in that series before m , or it coincides with m , or it follows after m .

¹ It is designed, however, to be capable of expressing not only the logical form, like Boole's notation, but also the content of a proposition.

² *Begriffsschrift*, Halle a/S. 1879, p. 26, Formula 133.

From this proof it can be seen that propositions which extend our knowledge can have analytic judgements for their content.³